

Outbreak investigation of Visceral Leishmaniasis in Borena Zone, Oromia Region, Ethiopia, November 2019: A Case Control study

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Research Article

Received: 09/02/2021

Accepted: 23/02/2021

Published: 30/02/2021

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ABSTRACT

Visceral Leishmaniasis is caused by Leishmania parasites that infect mammals, transmitted by Phlebotomine, mostly affects the poorest, distributed worldwide and prevalent in Ethiopia. Knowing risk factor is a remedy for control and the aim was to identify factors. The study was during October-November 2019 in Borena. 1:2 Case-control were identified by case definition and 33 cases were included in the study. Participants >18years interviewed and caregivers of <18 were

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Keywords: Borena, Outbreak,
Visceral Leishmaniasis

questioned for legality. Epi-info and SPSS were used for data entry and analysis respectively. Predictors were identified using chi-square at significant level $P < 0.05$ with 95%CI, then analysed using logistic regression to identify associated factors. During the time 153 suspected cases, 9 suspected deaths were reported; among these 33(22%) cases and 3 deaths were verified. Among 33 cases 15(45.5%) were in July 2019, in comparison of 4years data, there is surge cases in July-August 2019, 26(79%) of cases were from Dire, AR=15/100,000, CFR=9.1%. Among all, 15-64year were highly affected with AR=19.3. Case-control engaged 99(100%) respondents and among all 93(93.9%) were male, 68(68.8%) were 15-64years. Adult education AOR=30.438(2.378, 389.602), bed-net AOR=9.024 (1.763,46.205) and walling AOR=0.052(0.004,0.739) were associated factors at 95%CI with p-value <0.05 . Male 15-64years were highly susceptible. Level of education, bed-nets and walling were associated factors. Awareness of community on prevention method; using repellents, bed-net utilization, and safe sleeping mechanisms are mandatory. Formulating guidelines for male 15-64years for vector control and awareness creation on feeding habit of Phlebotomine, prevention and control also further investigation were recommended.

INTRODUCTION

Leishmaniasis is a protozoan disease caused by members of the genus *Leishmania*, parasites that infect numerous mammal species including humans, and transmitted by Phlebotomine sand-flies (Giradoni, 2017; FMOH, 2013; Sundar, 2012). *Leishmania* species produce widely varying clinical syndromes ranging from self-

healing cutaneous ulcers to fatal visceral disease with the syndromes fall into visceral leishmaniasis (VL), cutaneous leishmaniasis, and mucosal leishmaniasis (Sundar, 2012). Common symptoms of VL are prolonged fever, weight loss, signs of bone marrow invasion (anaemia, thrombocytopenia and leukopenia), abdominal distension with hepatosplenomegaly, and lymphadenopathy (OSIR, June 2016). Transmission may be anthroponomical or zoonotic. Human-to-human transmission via shared infected needles and in-utero transmission to the foetus occurs rarely (Sundar, 2012). Although the distribution of *Leishmania* is limited by the distribution of sand-fly vectors, human leishmaniasis is on the increase worldwide (FMoH, 2013; Sundar, 2012). It affects the poorest and most marginalized people and is commonly associated with malnutrition, poor housing and weak immune system (Ministry of health and population, 2019). Kala-azar generally affects poor and neglected populations living in remote rural areas. If not treated, more than 95% of Kala-azar cases will eventually result in death (Health; Ministry of health and population, 2019). In recent years, leishmaniasis outbreaks have been described with increasing frequency, including those in sub-tropical regions or regions not previously endemic across the global. In Brazil, beginning in 2005 (Marlow MA, 2014), There is reports outbreaks of VL in different parts of the world like Nepal from 2004 – 2007 (Surendra Uranw, January 2013), China in 2014 (OSMAN Yisilayin, 2015), Kenya in 2008, 2011, 2013 and 2014 (WHO, 2017), Ethiopia in 2007 (Jorge Alvar, 2007) different site with different number of cases. VL is considered among the most neglected tropical diseases (NTD), is one of several emerging diseases of major public health importance in Ethiopia. An estimated 3.2 million people are at risk of VL in Ethiopia and VL is endemic in six regions of the country (FMoH, 2013; Getachew Alebie*, 2019). Based on the report of Oromia Regional Health Bureau NTD report; it is endemic in Borena, Guji and Bale Zones. Borena zone started reporting Leishmaniasis cases in 2012 from Arero, Dire and Miyo Districts. More cases are reported by Dire District and the problem expanded to additional districts and currently, cases are coming from six districts. The Zone Health Department (ZHD) reported relatively an increased number of suspected leishmaniasis cases in 2019, specifically from Magado village.

Following reports of increased number of leishmaniasis cases in the zone, a team consisting of different experts drawn from Federal, Regional and WHO is established and deployed to the zone to provide technical support to ZHD from 14-25 October 2019. We conducted the investigation with a combination of epidemiological, entomological, and case control study and formed case definition by using a standard set of

criteria to decide whether an individual should be classified as having the disease or not in this investigation. We further divided into two sub-teams each taking responsibility for case management and surveillance. The case management team dealt with verifications of case diagnosis, management and capacity building of health workers in Ya'abal'o hospital. A case definition is formed by using a standard set of criteria to verify and decide whether an individual should be classified as having the disease or not in this investigation. Usually includes four components: Clinical information about the disease, Characteristics about the people who are affected, information regarding the location or place and specification of time during which the outbreak occurred. VL case definition: A person who presents with fever for more than two weeks and an enlarged spleen (splenomegaly) AND/OR Enlarged lymph nodes (lymphadenopathy) OR either loss of weight, anaemia or leukopenia; while living in a known VL endemic area or having travelled to an endemic area.

MATERIALS AND METHODS

Study area and period: The study was conducted in Borena Zone, Oromia Region. The area is bordered in North, West Guji Zone, in South bordering Kenya, in West, Somali Regional State and in the West with South Nations, Nationalities and People Regional State. The 2019 projected total population of the affected area was 219,809 and most of the residents of the zone were pastoralists and most Districts were low lands. Entomological and environmental parts of the study were conducted in Magado village in Dire district of Borena Zone, which is endemic for Malaria and other NTDs.

Study design: Descriptive design followed by case control study was conducted in the affected area. **Descriptive studies:** is based on case definition, we reviewed patients' record from the health facilities to verify the cases. We included socio demographic variables such as age, sex, travel history, to endemic areas, vector control program, sleeping area/place, location, date of onset, date health facility visit and clinical information such as symptoms and treatment outcomes.

Analytical Epidemiology: The dependent variable for the study was verified VL cases while independent variable includes variables such as age, sex, marital status, educational status, occupation and economic characteristics. We used case control design with 95% CI, 80% power, 30.8% controls exposure, and 3.73 odds

ratio and with ratio of 1:2. All 33 verified cases and 66 controls were included in the study. We collected all variables' information from cases admitted to hospital discharged using structured questionnaire by interviewing the patients, caregivers and respective control group from the same village. There may be limitation of recall bias from those returned to their home.

All 99 study subjects were residents of Borena Zone for at least 2 years at time of diagnosis (cases) or at time of enrolment (controls). We included all cases of VL which fulfils cases definition, admitted to Ya'abal'o Hospital and discharged from 13th July to 13th October, 2019. We entered the data to Epi info and exported to SPSS to analyse predictors using the Pearson's chi-square and logistic regression at significant value of $P < 0.05$. Primarily the risk factors were identified as associated significant factors for affecting occurrence of VL by Pearson chi-square at significance level of < 0.2 and these were candidates for binary logistic regression model to identify significant predictor. Variable those identified for eligibility of binary logistic regression model at p-value of 0.2 were again tested for the significance as risk factor of VL at p-value < 0.05 as candidate for final model of multivariate logistic regression analysis.

Entomological and Environmental Study: We carried out collection of sand flies by using two CDC light trap and six sticky paper collection method for both indoor and outdoor to assess the biting and resting behavior of sand fly, species identification and parasite detection for environmental and entomological assessment. We also tried to identify environmental risk factors like availability of water sources, marshy areas, housing conditions, type of trees and domestic and/or wild animals by our visitation to Magado village in Dire district of Borena Zone. A total of 70 entomological specimens were collected. Out of the 70 specimens, 49 (70%) were collected from outdoor near house, 15 (21%) from bushes sites. Only 6 (9%) of the specimens were collected from indoors. Though the sample was not adequate for justification, the density is high in outdoor near to house compound. All mounted sand fly specimens was used to identify vector species based on identification key. However, PCR analysis for parasite detection could was not conducted due to absence of necessary reagent (Leishmania primers).

Operational definitions:

Cases: all verified VL cases admitted to Ya'abal'o hospital during the study period

Control: study participants having similar age group, sex and village with cases.

Child dependent age group: are participants of the study with age group < 14 years old.

Productive age group: are participants of the study with age group from 15-64 years old.

Aged dependent age group: are participants of the study with age group > 65 years old.

RESULTS

Descriptive report:

Socio-demographic and economic characteristics: All participants (100%) were responded to our interview. Among 99 study subjects 33 (33.3%) of them were cases and 66 (66.7%) of them were controls. During interview, 21 (21.2%) respondents were treated cases and 78 (78.8%) of respondents were under treatment. Of the 99 participants 93 (93.9%) were male, 41 (41.4%) were have below the average family size of the country (4.8). Among all participants 5 (5%) were in aged dependent age group, 26 (26.3%) were in child dependent age group, and 68 (68.7%) participants were in productive age group. Of all participants 34 of them can't read and write and 65 of them had different level of education. Among the participants 26 of them have no land; this is related to occupation i.e. pastoralists accounts 74 (74.7%), farmers 19 (19.2%) and others 6 (6.1%). The walling materials of their house were earth (mud) 86 (86.9%), wood 10 (10.1%) and thatched and brick 3 (3%) (Table 1).

Table 1: Socio-demographic Characteristics of Borena Zone, Oromia, Ethiopia 2019

Variables	Category	Frequency	Percent
Status of Study Subjects	Case	33	33.3
	Control	66	66.7
Sex	Male	93	93.9
	Female	6	6.1
Age group in years	0-14	26	26.3
	15-64	68	68.7
	65+	5	5.1
Family size	<5	41	41.4
	>=5	58	58.6
Occupation of head of the house hold	Farmer	19	19.2
	Pastoralist	74	74.7
	Others	6	6.1

Level of Education of head of HH	Can't read and write	34	34.3
	Adult education	16	16.2
	Elementary (1-8)	18	18.2
	High school (9-12)	12	12.1
	Higher Education	19	19.2
Ownership of land	Yes	26	26.3
	No	73	73.7
Number of Hectare(s) for the owner of land (n=26)	One hectare	14	53.8
	Two hectares	4	15.4
	Three hectares	6	23.1
	Four hectares	2	7.7
Walling of the house	Earth (mud)	86	86.9
	Wood and thatched	10	10.1
	Brick	3	3
Roofing of the house	Thatch	88	88.9
	Iron sheet	11	11.1
Floor of the house	Earthen	93	93.9
	Concrete	6	6.1
Number of rooms of the house	One room	47	47.5
	Two rooms	39	39.4
	Three rooms	9	9.1
	Four rooms	4	4
Family having radio	Yes	52	52.5
	No	47	47.5

Behavioural and environmental characteristics: Among all study participants 94 (94.9%) of them have no travel histories to other VL endemic area within the last 2 years, 76 (76.8%) HH were sprayed with anti-mosquito chemicals. Among 23 sprayed HHs 19 (82.6%) of them were sprayed <1 year duration. Among study participants 64 (64.6%) households had no bed net, 27 (77.1%) of them used always, 7 (20%) of them used sometimes & 1 household never used the net. Most of the time; 67 (67.8%) study subjects sleep inside the

house and 32 (32.3%) of them sleep outside the house during night time. During night time 78 (78.8%) study subjects were sleep under acacia tree and during day time 95 (96%) of the have behaviour of sleeping under acacia tree (Table 2).

Table 2: Behavioural Characteristics in Borena Zone, Oromia, Ethiopia 2019

Variables	Category	Frequency	Percent
Travel history of study subjects to other VL endemic area	No	94	94.9
	Yes	5	5.1
HH IRS status	No	76	76.8
	Yes	23	23.2
Ownership status of dogs	No	68	68.7
	Yes	31	31.3
Availability of 'Osole' near residential area	Yes	80	80.8
	No	19	19.2
Family having Bed nets	Yes	35	35.4
	No	64	64.6
Utilization of Bed nets during dry season (n=35)	Always used	27	77.1
	Sometimes used	7	20
	Never used	1	2.9
Utilization of Bed nets during rainy season (n=35)	Always used	26	74.3
	Sometimes used	8	22.9
	Never used	1	2.9
Sleeping place of study subjects	Inside room in the house	67	67.7
	Outside of the house	32	32.3
Sleeping under acacia tree during night time	Yes	78	78.8
	No	21	21.2
Sleeping under acacia tree during day time	Yes	95	96
	No	4	4

Visceral Leishmaniasis (VL) morbidity and mortality: A total of 153 cases, of which 33 (22%) were verified for VL admitted in Ya’abal’o Hospital during July 2, 2019 to October 23, 2019. In addition, the hospital reported 9 deaths due to VL and 3 of them were verified for VL death. The AR and CFR among verified cases was 15/100,000 and 9.1% respectively.

Distribution of visceral leishmaniasis by time: Among the total 33 cases 15 (45.5%) of cases were reported with the date of onset in August 2019. The outbreak was started in June 20th, 2019 (Epi-week 25) increased gradually and reached its pick 5 cases in August 2019 (Epi-week 31) and showed decline ever week. There were no cases with the onset dates reported in Epi-week 39 and 40. Upon comparison of trends of cases reported from 2016 to 2019 there is a marked case surge in July and August 2019 more than other years of respective months (Figure 1 - 3).

Figure 1: Epi Curve of suspected VL outbreak in Borena Zone, Oromia, Ethiopia 2019

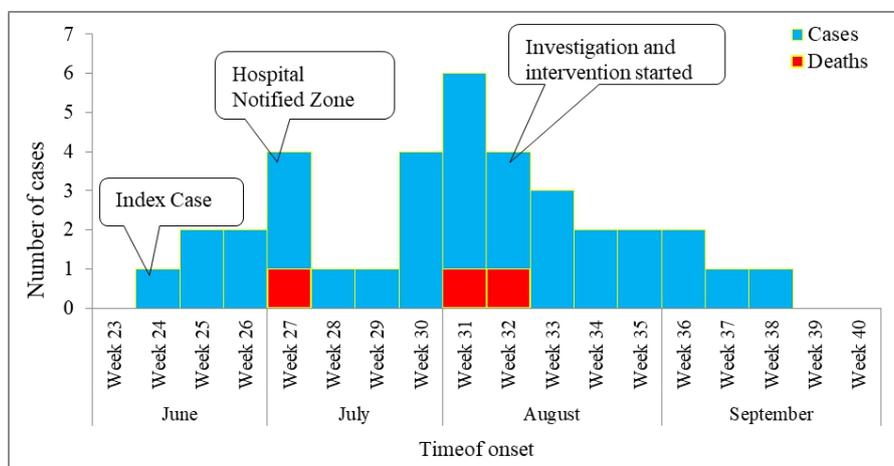


Figure 2: Monthly trend of VL cases in Borena 2016 -2019, Oromia, Ethiopia

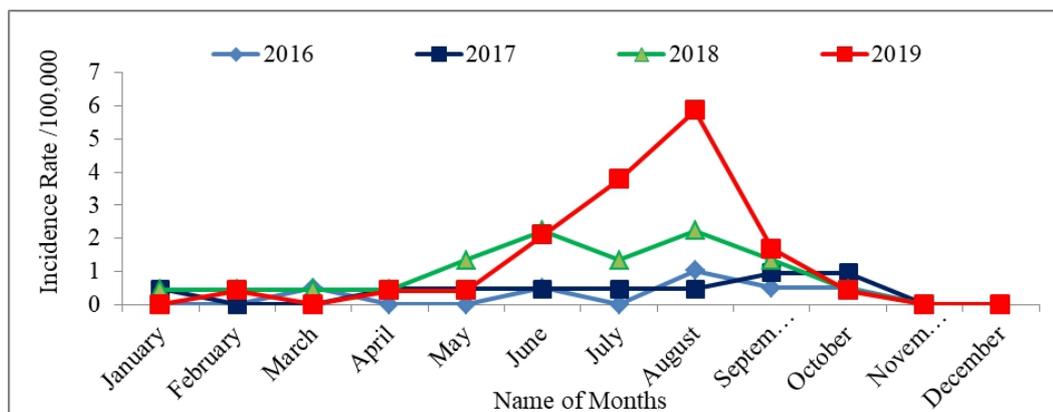


Figure 3: Annual trend of VL cases & deaths in Borena, Oromia, Ethiopia

Distribution of visceral leishmaniasis by place: The AR among the Districts per 100,000 populations was: 51.2 in Dire, 6.6 in Elwaya, 6.2 in Dilo, 5.2 in Moyale, 3.3 in Dubuluk and 1.8 in Miyo districts. All confirmed deaths were from Dire (Table 3).

Table 3: AR and Death Rate of VL by District in Borena, Oromia, Ethiopia 2020

Name of District	Total Population	Number of Cases	Number of Deaths	AR per 100,000	CFR
Dire	50,760	26	3	51.2	11.5
Elwaya	30,221	2	0	6.6	0
Moyale	37,991	2	0	5.3	0
Dilo	16,121	1	0	6.2	0
Dubuluk	30,285	1	0	3.3	0
Mi'o	54,431	1	0	1.8	0
Total	2,19,809	33	3	15	9.1

Among all cases; 26(79%) of them were from Dire District and Magado Kebele contributed 20 (77%) cases for Dire. Other 7 (21%) cases were from Moyale 2 cases, Elweya 2 cases, Dilo 1 case, Dubuluk 1 case and Miyo 1 case (Figure 4 and 5). More over all the cases from other Districts had travel history to Ele-Bora water point. All the confirmed three deaths were from Dire District.

Figure 4: Spatial distribution of VL in Borena, Oromia, Ethiopia 2019

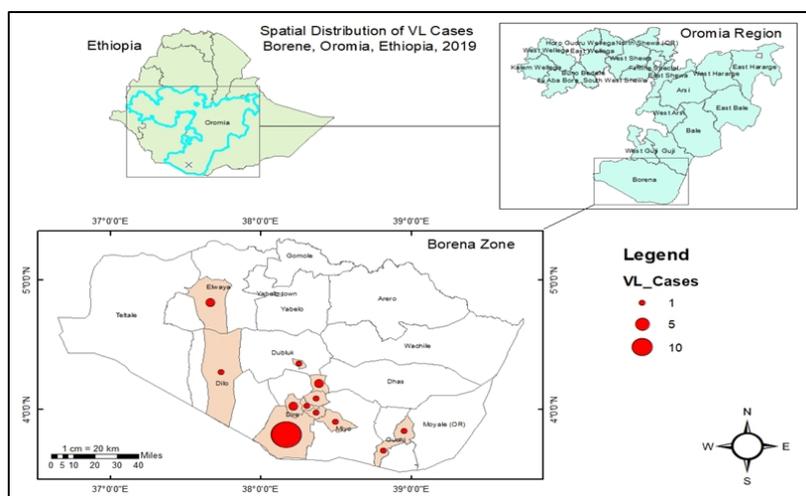


Figure 5: Number of VL cases by Districts in Borena Zone, Oromia Ethiopia 2019

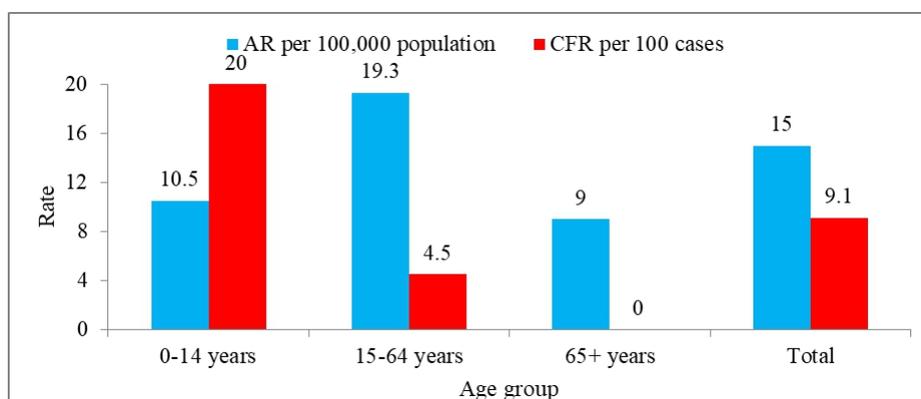
Distribution of visceral leishmaniasis by person: The AR per 100,000 populations with age groups was 10.5, 19.3 and 9.0 in 0-14 years, 15-64 years and 65+ years respectively. The age groups highly affected were 15-64

years with AR of 19.3/100, 000 population, while the CFR is high among age groups 0-14 years with CFR of 20%. This is may be due low immunity during child hood (Table 40 and Figure 59). Among all case 31 (94%) of them were male. There were only 2 female cases and both of them were alive (Table 4 and Figure 6).

Table 4 : AR and CFR of VL with age group in Borena Zone, Oromia, Ethiopia, 2019

Age Category	Total population	Number of Cases	Number of Deaths	AR per 100,000 pop	CFR per 100 cases
0-14 years	94,936	10	2	10.5	20
15-64 years	1,13,743	22	1	19.3	4.5
65+ years	11,130	1	0	9	0
Total	2,19,809	33	3	15	9.1

Figure 6: VL Distribution by age group in Borena, Oromia, Ethiopia 2019



Analytic Epidemiology findings: Study participants with adult education are 30 times more likely to have VL than those of having higher educational level (95% CI of AOR=2.378, 389.602), and similarly primary level of education are 13 times more likely to develop VL than those of having higher educational level (95% CI of AOR=1.107, 168.565). HH heads not able to read and write 93% less likely to be free from VL than those able to read and write (95% CI of AOR=0.007,0.582) and not owning land is 72% less likely to be free from VL than those owning land (95% CI of AOR=0.078,0.996). Participants not having bed-nets are 9 times more likely to be infected with VL than those having bed-nets (95% CI of AOR=1.763, 46.205). Living in house with walling of brick is 95% less likely to be susceptible for VL infection than those living in house with walling of earth (mud) (Table 5).

Table 5: Multivariate Analysis of VL risk factors in Borena Zone, Oromia, South Ethiopia

Risk Factors	Categories	Cases		Controls		COR (95%CI)	AOR (95% C.I.)	P-Value
		Number	Percent	Number	Percent			
Level of Education of study subject	Can't read & write ¹	16	47.1	18	52.9			0.055
	Adult education **	8	50	8	50	7.55 (1.51,37.89)	30.44 (2.378, 38.60)	0.009
	Primary (1-8) *	6	33.3	12	66.7	8.50 (1.46,49.54)	13.66 (1.10,168.56)	0.041
	High school (9-12)	1	8.3	11	91.7	4.25 (0.73,24.77)	0.42 (0.01,26.08)	0.686
	Higher education	3	10.5	18	89.5	0.77 (0.62,9.58)		
Ability of household head to read and write	No *	17	26.2	48	73.8	0.398 (0.17,0.95)	0.065 (0.007,0.582)	0.015
	Yes ¹	16	47.1	18	52.9			
Family ownership status of land	No*	20	27.4	53	72.6	0.377 (0.15,0.95)	0.278 (0.08,0.99)	0.049
	Yes ¹	13	50	13	50			
Having bed net	No**	29	45.3	35	54.7	6.421 (2.03,20.32)	9.024 (1.76,46.21)	0.008
	Yes ¹	4	11.4	31	88.6			
Walling of the house	Earth ¹	26	30.2	60	69.8			0.092
	Brick*	0	0	3	100	0.185 (0.05,0.78)	0.052 (0.004,0.739)	0.029
	Other	7	70	3	30	0	0	0.999
** is P-value < 0.01,								
* is P-value <0.05								
¹ Reference								

Entomological findings: A total of 70 entomological specimens were collected. Out of the 70 specimens, 49 (70%) were collected from outdoor sites and 15 (21%) were from vegetation. Only 6 (9%) of the specimens were collected from indoors. Though the sample was not adequate for justification, the density is 8-10 flies per A4 size slide in outdoor near to house compound. In Ele-Bora village black cotton soil is important breeding

site for sand fly, the community prefers to sleep outside house due to hot climatic condition results in an increased risk of infection. However, 9% sand fly was collected inside the houses, are not constructed well, have many holes in the wall, so it increases the risk of bite when a person sleeps even inside the house. The descriptive part of our finding also shows among all study participants 68.7% of them own dogs and 80.8% of them respond that availability of 'Osole' near their residential area. Both dogs and 'Osole' are the reservoirs of sand flies. Additionally; acacia tree which is favorable for the breeding of sand fly found in the area and 78.8% of the respondents sleep under this tree during night time.

Environmental findings: Based on the data registered in Ya'abal'o hospital shows high cases were from Dire District specifically from Magado Kebele. We tried to identify environmental risk factors for VL in Magado Kebele. Accordingly; there was a deep well water source project in Ele-Bora village having tankers and ditches without water which makes the surrounding favourable area for breeding of sand flies. For the sake of water source project the community obliged to come and dwell in the area for grazing and getting water for their cattle. Additionally; the area is marshy/swampy during rain; when heavy rain passes the land become cracked and makes small holes which helps for breeding of sand flies and related insects.

The walling of house made of wood and thatched having many holes, roofing from thatched and plastic material, and floor from earth not easily cleanable having cracks and holes. There were also acacia trees in the district which serves as shading from sunlight during day time and as a shelter near herd of cattle for young male during night time. There were domestic animals like dogs and wild animals like "Osole" inhabit in the area.

DISCUSSION

The increment in the number of cases in July and August 2019 might be by the awareness raising activities in the community by the zone health department and respective Districts which can improve the care seeking behaviour of the community and the attention given for complete data recording in the current suspected outbreak. The number of cases is pitched in Magado Kebele of Dire District. The possible cause for increased number of cases in Magado might be related to settlement of community near water point of Ele_Bora village

which is favourable for breeding of Sand Flies which are responsible vector for Leishmania infection (Sundar, 2012; Surendra Uranw, January 2013). Additionally the entomological information collected from Ele_Bora village also indicates the presence of sand fly in the village. More over all the cases from other District had travel history to the water point in Dire District. The age groups highly affected were 15-64 years male due to high risk of exposure to the breeding sites of sand fly during outside work activities; in similar way study conducted in North Ethiopia also shows higher number of VL cases were recorded above 14 years of age group, and studies in Libo Kemem showed that males were affected more than females. In contrast to our study the study conducted in South Sudan shows 56% of the cases were under 5 years old (Nyunguraa JL, 2011). The reason for difference could be behavioural and cultural difference between the communities. The reason for similarity of the studies might be due to culture and habits of the male were engaged to keep cattle; they sleep at night time outside the house near their cattle and stays under shed of trees at day time (Walelign Azene, 2017). Domestic animals like dog accompany them to keep their cattle during day and night, which are the factors for the transmission of Leishmaniasis (Samson Leta, 2014). The respondents also told that there are wild animals like "Osole" which are another risk factor for the transmission of the disease (Solomon Yared, 2014). The result shows that the fatality rate is relatively high among child dependent age group. This might be due low immunity of children than the productive age groups (Sundar, 2012).

Statistical analysis model we used shows that level of education of study participants were significantly associated with VL cases, which is similar with study conducted in North Ethiopia (Walelign Azene, 2017). Similarly study conducted in North Ethiopia showed that educational level below grade five boosted VL odds (Solomon Yared, 2014; Ambaye Kenubih, 2015). In contrast to this there were no evidences shown in studies conducted in Nepal, South Sudan and Libo Kemkem of North West Ethiopia (Surendra Uranw, January 2013; Nyunguraa JL, 2011; Walelign Azene, 2017). The difference might be due to socio cultural and geographical location difference of study areas. We found that there is a strong association between VL and poor housing condition like walling material built from mud (earth) and similar study conducted in Nepal with those living in a thatched houses without windows having 3–4 times higher odds of Kala-azar (Surendra Uranw, January 2013). In Spain also living in a detached house, were all strongly associated with the prevalence of asymptomatic infection (Ana Victoria Ibarra-Meneses, 2019). Cracked walls may be favourable area for the

breeding of and resting of sand flies and houses without window are free for the movement of vectors from outdoor to indoor flight. The study North Ethiopia also shows similar association (Solomon Yared, 2014). Our study also indicates that owning specific land has significant association with VL, and similarly study conducted in Shebelle, Somali Region (Getachew Alebie*, 2019). Previous outbreaks were often related to force migration of non-immune populations into endemic areas following conflict (Sakib Burza, 2018). The reason behind might be due to high mobility of the community for grazing and not expected to construct well designed house which hinders the movement and breeding of sand fly. Bed-net is other predictor that is associated to VL cases. Similarly studies proved that having bed nets and utilization of bed nets have significant association with the prevalence of this morbidity (Solomon Yared, 2014; Ambaye Kenubih, 2015). This holds true that ITNs are protective factor for the sand flies mechanically as well as chemically by killing the vectors (Consortium, 2010; FMOH, 2013). However, like IRS, the usefulness of Long Lasting ITNs very much depends on the biting behaviour of the vectors (indoor vs. outdoor). Another issue regarding the use of nets against sand flies is that much sand fly biting activity occurs during early evening between 19-21 o'clock before most people go to sleep so that exposure to sand fly bites is only reduced but not eliminated (FMOH, 2013).

CONCLUSION

To conclude the findings: male productive age group were the affected group, level of education, ownership of land, having and utilization of bed nets and housing conditions are significantly associated with Visceral Leishmaniasis. Based on the main findings of our study we recommend that: formulating policies and guidelines on awareness creation for male productive age group regarding feeding habit of sand fly and prevention mechanisms control methods, Educating the community on prevention mechanisms like using repellents and safe sleeping mechanisms and additionally further investigation on the study area is the best remedy to overcome future VL outbreak occurrence.

ACKNOWLEDGEMENT

We would like to thank Addis Ababa University; College of Health Science; School of Public Health and Oromia Regional Health Bureau for the facilitation of investigation. Our greatest gratitude goes to outbreak investigation team for unrestricted technical contribution to overcome the outbreak status. Finally; our appreciation goes to Borena Zone Health Office, Ya'abal'o Hospital and their respective health workers as well as respondents for their willingness to avail requested data.

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